



THURSDAY OCTOBER 4, 2012

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| 7:30 | CONFERENCE REGISTRATION AND BREAKFAST IN THE FOYER <i>Sponsored by: PowerShares</i> | |
| 8:30 – 8:35 | OFFICIAL WELCOME <i>Judy Street, Vice- President, Radius Financial Education</i> | |
| 8:35 – 8:40 | OPENING REMARKS <i>Pat Bolland, Senior Counsel, Veritas Communications</i> | |
| 8:40 – 8:55 | ETFs IN CANADA <i>Amelia Nedovich, Head, Business Development, ETFs & Structured Products, Toronto Stock Exchange</i> | |
| 8:55 – 9:45 | <p>THE U.S. CONSUMER, THE KEY TO WORLD GROWTH <i>Robert Johnson, Director of Economic Analysis, Morningstar</i></p> <p>While many economists worry that China and Europe will drag the U.S. into a recession, it seems more likely that the U.S. might pull the rest of the world out of a recession. Lower inflation, better balance sheets and improved employment prospects are all aiding the U.S. consumer. Those same consumers are continuing to spend at a moderate but consistent pace. Though, the consumer is not without worries. Incomes have not kept pace with inflation, gasoline prices are still high and housing price declines have moderated but not stopped completely.</p> | |
| 9:45 – 10:25 | <p>BEYOND TRADITIONAL INDEXING <i>Moderator: Pat Bolland, Senior Counsel, Veritas Communications</i> <i>Rohit Mehta, Senior Vice President, Sales & Marketing, First Asset Capital Corporation;</i> <i>Paul D. Kaplan, Ph.D., Director of Research, Morningstar Canada</i></p> <ul style="list-style-type: none"> • The rise of factor weight strategies • What is the rationale behind them? • How can these strategies ‘fit’ in your portfolios? | |
| 10:25 – 10:40 | NETWORKING BREAK IN EXHIBIT HALL <i>Sponsored by: BMO Exchange Traded Funds</i> | |
| 10:40 – 11:20 | Concurrent Workshops | |
| | ETF FUNDAMENTALS - SALON DE SAISON | ADVANCED STRATEGIES – SALON PRINTEMPS |
| | <p>ETF PRODUCT WORKSHOP <i>Moderator: Amelia Nedovich, Head, Business Development, ETFs & Structured Products, Toronto Stock Exchange</i></p> <p>Representatives from ETF product manufacturers discuss what's new in their product line up.</p> | <p>TACTICAL INVESTING USING ETFs: STRATEGIES FOR A VOLATILE MARKET <i>Jaime Purvis, Executive Vice President, Horizons ETFs</i></p> <p>Due to a progressively interconnected financial system, markets have now become more vulnerable to systemic risks. How should investors respond to unprecedented volatility?</p> <ul style="list-style-type: none"> • Tactical ETF approaches for today's investors • Bull, bear and trendless market tactics • How do you best employ ETFs to execute these strategies? |

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| | Concurrent Workshops | |
| | ETF FUNDAMENTALS – SALON PRINTEMPS | ADVANCED STRATEGIES – SALON DE SAISON |
| 11:20 – 12:00 | <p>JUST STARTING TO USE ETFs, THIS WORKSHOP WILL WALK YOU THROUGH: <i>Richard Bernard, Sales Executive, Vanguard Investments Canada Inc.</i></p> <ul style="list-style-type: none"> • What are ETFs? • How ETFs work • Potential benefits of ETFs | <p>ADVANCED TRENDS IN PORTFOLIO CONSTRUCTION <i>Mark Yamada, President & CEO, PUR Investing; Tyler Mordy, Director of Research, HAHN Investment Stewards & Company Inc.</i></p> <ul style="list-style-type: none"> • Asset allocation is dead, long live asset allocation • Low volatility strategies • Matching client risk tolerance to ETF portfolios |
| | Concurrent Workshops | |
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| 12:00 – 12:40 | <p>JUST STARTING TO USE ETFs, THIS WORKSHOP WILL WALK YOU THROUGH: <i>Alain Desbiens, Vice President, Quebec & Atlantic, BMO Exchange Traded Funds</i></p> <ul style="list-style-type: none"> • Transitioning your business • Asset allocation strategies • Creating portfolios • How do I get paid | <p>ETF PRODUCT WORKSHOP <i>Moderator: Amelia Nedovich, Head, Business Development, ETFs & Structured Products, Toronto Stock Exchange</i> Representatives from ETF product manufacturers discuss what's new in their advanced product strategies line up.</p> |
| 12:40 – 1:40 | <p>BUFFET LUNCH IN EXHIBIT HALL <i>Sponsored by: TMX Toronto Stock Exchange TSX Venture Exchange</i></p> | |
| | CONCURRENT WORKSHOPS | |
| | SALON PRINTEMPS | SALON DE SAISON |
| 1:40 – 2:20 | <p>EXCHANGE TRADED NOTES AND RECEIPTS <i>Berangere Lion, Director, Head of Retail Distribution, Canada, Investor Solutions, Barclays;</i> <i>Steve Higgins, Manager, Canadian Gold Reserves program, Royal Canadian Mint</i> Exchange Traded Notes and Receipts are a rapidly growing component of the investor's toolkit for accessing to 'hard to reach' asset classes such as commodities, volatility and multi-asset class strategies. This session explores some of the recent developments in the ETN and ETR market and shares some considerations from the issuer's perspective as to the challenges and advantages for Canadian investors.</p> | <p>ADVANCED ETF STRATEGIES <i>Michael Cooke, Head of Distribution, PowerShares Canada;</i> <i>Tim Pickering, President, Lead Portfolio Manager, CIO, Auspice Capital Advisors;</i> <i>Yves Rebetez, Managing Director, Editor, ETFInsight</i></p> <ul style="list-style-type: none"> • Covered Call strategies • Alternative Beta Strategies • Commodity and Managed Futures ETFs • How they work, cost structure, benefits |

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| 2:20 – 3:00 | <p>USING FIXED INCOME ETFs TO OPTIMIZE PORTFOLIO PERFORMANCE <i>Moderator: Pat Bolland, Senior Counsel, Veritas Communications</i> <i>Mark Raes, Vice President & Portfolio Manager, BMO Exchange Traded Funds;</i> <i>Michael Cooke, Head of Distribution, PowerShares Canada;</i> <i>Rohit Mehta, Senior Vice President, Sales & Marketing, First Asset Capital Corporation</i></p> <p>ETFs can fundamentally change the way you access the Fixed Income Market. In this session you will learn how ETFs can:</p> <ul style="list-style-type: none"> • Offer better liquidity • Offer more Efficient Trading • Simplify Portfolio Management |
| 3:00 – 3:15 | <p>NETWORKING BREAK IN EXHIBIT HALL <i>Sponsored by: VANGUARD</i></p> |
| 3:15 – 4:15 | <p>TRADING <i>Moderator: Pat Bolland, Senior Counsel, Veritas Communications</i> <i>Christopher Johnson, Director, Knight ETF Trading;</i> <i>Gary Knight, Vice President, Trading, TSX Markets</i></p> <ul style="list-style-type: none"> • High Frequency Trading • Best Execution • Use of a Market Maker • Dark Pools • Sourcing Liquidity |
| 4:15 – 4:55 | <p>Index Methodology <i>Moderator: Pat Bolland, Senior Counsel, Veritas Communications</i> <i>Atul Tiwari, Managing Director, Vanguard Investments Canada Inc.;</i> <i>Mark Raes, Vice President & Portfolio Manager, BMO Exchange Traded Funds;</i> <i>Michael Cooke, Head of Distribution, PowerShares Canada</i></p> <ul style="list-style-type: none"> • A look under the hood at indexing • How to choose between competing indexes; cap weight vs. market weight vs. fundamental weight • Factor weight strategies |
| 4:55 | <p>CLOSING REMARKS <i>Pat Bolland, Senior Counsel, Veritas Communications</i></p> |
| 5:00 – 6:30 | <p>COCKTAIL RECEPTION IN EXHIBIT HALL <i>Sponsored by: First Asset: Exchange Traded Funds</i></p> <p><i>Remember to bring your business cards!</i> <i>The not-to-be-missed Reception on the evening of Thursday October 4th will provide you with crucial time to interact with key players in your industry.</i></p> |

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